

000FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE REV. 2/01T		CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER 07781.0221 U.S. APPLICATION NO. (If known, see 37CFR1.5) <div style="font-size: 1.5em; font-weight: bold;">10/526751</div>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION NO. PCT/EP2003/009833	INTERNATIONAL FILING DATE September 4, 2003	PRIORITY DATE CLAIMED September 9, 2002
TITLE OF INVENTION ELECTRONIC DATA STRUCTURE FOR CONTROLLING ACCESS TO DATA OBJECTS USING LOCKS		
APPLICANT(S) FOR DO/EO/US Thorsten PFERDEKAEMPER and Martin FISCHER		
Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. 2. 3. 4. 5. 6. 7. 8. 9. 10.	<div style="margin-left: 20px;"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed with the United States Receiving Office (RO/US). </div> <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). <div style="margin-left: 20px;"> <input type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 (d)(4). </div> <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). <div style="margin-left: 20px;"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. </div> <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). <input checked="" type="checkbox"/> Annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). </div>	
Items 11 to 20 below concern document(s) or information included:		
11. 12. 13. 14. 15. 16. 17. 18. 19. 20.	<div style="margin-left: 20px;"> <input checked="" type="checkbox"/> Information Disclosure Statement under 37 CFR 1.97 and 1.98 <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A Substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154 (d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). <input checked="" type="checkbox"/> Other items or information: <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> Copy of cover page of International Publication No. WO 2004/025506 A1 <input type="checkbox"/> Copy of Notification of Missing Requirements. <input checked="" type="checkbox"/> Request for Substitution of Replacement Sheets </div> </div>	

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21. <input checked="" type="checkbox"/> The following fees are submitted:				Applicant Use	Office Use Only
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):				\$300.00	
National Stage Search Fee.....				\$500.00	
National Stage Examination Fee.....				\$200.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of additional 50 or fraction thereof (rounded up to a whole number)	Rate		
32- 100 =	/50=		x 250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).				<input type="checkbox"/> 20 <input type="checkbox"/> 30	\$
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	28	- 20 =	8	x \$50.00	\$400.00
Independent Claims	3	-3 =		x \$200.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+\$360.00	\$360.00
TOTAL OF THE ABOVE CALCULATIONS =				\$1760.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$1760.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)).				<input type="checkbox"/> 20 <input type="checkbox"/> 30	\$
TOTAL NATIONAL FEE =				\$1760.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				+	\$
TOTAL FEES ENCLOSED =				\$1760.00	
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1760.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, NW Washington, D.C. 20001-4413					
DATED: March 7, 2005				<div style="text-align: center;"> SIGNATURE Ernest F. Chapman Reg. No. 25,961 NAME/REGISTRATION NO. </div>	

PCT/EP2003/009833
Thorsten PFERDEKAEMPER et al.
Attorney Docket No. 07781.0221

EFC/FPD/gah